

\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\*

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.<sup>1</sup>

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE EMPLOYEES RETIREMENT SYSTEM OF THE  
GOVERNMENT OF THE COMMONWEALTH OF  
PUERTO RICO,

Debtor.

X

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: PROMESA

: Title III

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: Case No. 17-BK-3283 (LTS)

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: (Jointly Administered)

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X

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: PROMESA

: Title III

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: Case No. 17-BK-3566 (LTS)

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X



RECEIVED & FILED  
2019 NOV - 1 AM 9:30  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
U.S. BANKRUPTCY

**NOTICE OF PARTICIPATION IN LITIGATION  
OF OBJECTIONS TO ERS BOND CLAIMS**

<sup>1</sup> The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

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Absent permission granted by the District Court upon a showing of good cause, any party that has notice of the Initial Objection Procedures but (a) fails to file a Notice of Participation or (b) files a Notice of Participation after November 18, 2019, shall be prohibited from filing separate pleadings with respect to the Claim Objections (as defined in the Initial Objection Procedures), including filing any Additional Claim Objections (as defined in the Initial Objection Procedures).

The party identified below (“Participant”) hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the “ERS Bonds”).

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant’s contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

Maria de L. Vazquez

Participant Name

Contact Person (if Participant is not an individual)

Email Address

urb Villa de R. Canas

Address line 1

c/8yo Guerra 1307 Ponce

Address line 2

PR 00728

City, State Zip Code

Ponce PR

Country

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking “X” in the appropriate space):

intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

intends to oppose the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are valid)

\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\*

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

RECEIVED & FILED  
2019 NOV -1 PM 5:03  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.<sup>1</sup>

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3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216 MAC4  
29216 MBN9

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: resign  
Signature

Mariel Vazquez  
Print Name

Title (if Participant is not an Individual)

21/oct/2019  
Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) **served** by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) **filed** electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

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The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

<u>Participant Name and Contact Information</u>	<u>Counsel Contact Information (if any)</u>
<u>ORLANDO MARECHAL</u> Participant Name	<hr/> Firm Name (if applicable)
<u>OLYVIA@YAHOO.COM</u> Email Address	<hr/> Contact Person
<u>609 AVENTURE CENTER</u> Address line 1	<hr/> Email Address
<u>STE 102 PUB 353</u> Address line 2	<hr/> Address line 1
<u>PALM BEACH 33401</u> City, State Zip Code	<hr/> Address line 2
<hr/> Country	<hr/> City, State Zip Code
<hr/> Country	

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

intends to oppose the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are valid)

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3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

(a) Provide the CUSIP Numbers of all ERS Bonds held by Participant: 28216 MAC4

(b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By:   
Signature

ORLANDO MARCHENA A  
Print Name

Title (if Participant is not an Individual)

10.29.19

Date

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RECEIVED & FILED

2019 NOV -1 PM 5:03

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

RENUO MRECKA  
6094 VENOSTA  
SNE 102 PMS 353  
PUEP 200216

the Clerk of the United States District Court

For the District of Puerto Rico  
Room 150 Federal Building  
150 Canal Avenue  
San Juan, P.R. 00918-1767



RECEIVED & FILED

\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\*

2019 NOV -1 PM 5: 06

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

X

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.<sup>1</sup>

PROMESA

Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

X

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE EMPLOYEES RETIREMENT SYSTEM OF THE  
GOVERNMENT OF THE COMMONWEALTH OF  
PUERTO RICO,

Debtor.

PROMESA

Title III

Case No. 17-BK-3566 (LTS)

X

**NOTICE OF PARTICIPATION IN LITIGATION  
OF OBJECTIONS TO ERS BOND CLAIMS**

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To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

LUIS A. COLON AND MARIA A.  
BERTIE COLON  
Participant Name

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person (if Participant is not an individual)

BERTIECOLON@gmail.com

Contact Person

Email Address

VRB TORRIMAR

Email Address

Address line 1

5-2 RAMIREZ DE ARELLANO

Address line 1

Address line 2

GUAYNABO, PR. 00966

Address line 2

City, State Zip Code

City, State Zip Code

USA

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

   intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

X intends to oppose the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are valid)

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3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216 MBN9

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Luis R. Colon Maria A. de Colon

Signature

Luis A. COLON/MARIA A. DE COLON

Print Name

Title (if Participant is not an Individual)

10/30/2019

Date

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COLON  
URB. TURRIMAR  
5-3 RAMIREZ DE ARELLANO  
GUAYNABO, PR 00966

Case:17-03283-LTS Doc#:9100-1 Filed:11/01/19 Entered:11/04/19 14:47:32 Desc:

CERTIFIED MAIL

Pro Se Notices of Participation Page 11 of 61



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U.S. POSTAGE PAID  
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BAYAMON, PR  
00959  
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THE CLERK OF THE US DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO  
ROOM 150 FEDERAL BUILDING  
150 CARLOS CHARDON AVENUE  
SAN JUAN, PR 00918-1769

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\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\*

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

X

In re:

THE FINANCIAL OVERSIGHT AND : PROMESA  
MANAGEMENT BOARD FOR PUERTO RICO, : Title III  
  
as representative of : Case No. 17-BK-3283 (LTS)  
  
THE COMMONWEALTH OF PUERTO RICO *et al.* : (Jointly Administered)  
  
Debtors.<sup>1</sup> :  
X

In re:

THE FINANCIAL OVERSIGHT AND : PROMESA  
MANAGEMENT BOARD FOR PUERTO RICO, : Title III  
  
as representative of : Case No. 17-BK-3566 (LTS)  
  
THE EMPLOYEES RETIREMENT SYSTEM OF THE :  
GOVERNMENT OF THE COMMONWEALTH OF :  
PUERTO RICO, :  
  
Debtor. :  
X



2019 NOV 1 AM 9:30

**NOTICE OF PARTICIPATION IN LITIGATION  
OF OBJECTIONS TO ERS BOND CLAIMS**

<sup>1</sup> The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

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To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

Francisco Sosa Renteria

Participant Name

Contact Person (if Participant is not an individual)

N/A

Email Address

P.O. Box 34

Address line 1

P.O.

Address line 2

Mercedita P.R. 00715

City, State Zip Code

Country

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

intends to oppose the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are valid)

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(a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

- 292 16 MAC4

(b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Francisco Sosa Rentería  
Signature

Francisco Sosa Rentería  
Print Name

Title (if Participant is not an Individual)

Oct 24 2019  
Date

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**FRANCISCO SOSA  
PO BOX 34  
MERCEDITA PR 00715**

RECEIVED & FILED

2019 NOV -1 AM 9:30

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

**The Clerk of the United States District  
Court for the District of Puerto Rico  
Room 150 Federal Building  
150 Carlos Chardon Avenue  
San Juan PR 00918-1767**

**SCREENED MAIL  
USMS**

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RECEIVED & FILED  
2019 NOV -1 PM 5:01  
U.S. DISTRICT COURT  
SAN JUAN, PR

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

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MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.<sup>1</sup>

X

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PROMESA

Title III

Case No. 17-BK-3283 (LTS)

(Jointly Administered)

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PROMESA

Title III

Case No. 17-BK-3566 (LTS)

In re:

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MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE EMPLOYEES RETIREMENT SYSTEM OF THE  
GOVERNMENT OF THE COMMONWEALTH OF  
PUERTO RICO,

Debtor.

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1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Francesca Turci

Participant Name

Contact Person (if Participant is not an individual)

Email Address

107 C Hawthorne Ave

Address line 1

Address line 2

Park Ridge NJ

City, State Zip Code

USA

Country

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

intends to oppose the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are valid)

**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

(a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

(b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Francesca Turci  
Signature

Francesca Turci

Print Name

Title (if Participant is not an Individual)

10/22/19

Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.

Mr. & Mrs. Gaglioti  
C Hawthorne Ave  
Oak Ridge, NJ 07656

RECEIVED & FILED

2019 NOV -1 PM 5:01

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

The Clerk of the US District Court  
for the district of Puerto Rico  
Room 150 Federal Building  
150 Carlos Chardon Ave  
San Juan PR  
00918-1767

00918-17625

DIV DANIELS MJD/O  
29 NOV 2019 PM 4:24



\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\*

RECEIVED & FILED  
2019 NOV - 1 PM 5:01

CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.<sup>1</sup>

X

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In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE EMPLOYEES RETIREMENT SYSTEM OF THE  
GOVERNMENT OF THE COMMONWEALTH OF  
PUERTO RICO,

Debtor.

X

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**NOTICE OF PARTICIPATION IN LITIGATION  
OF OBJECTIONS TO ERS BOND CLAIMS**

<sup>1</sup> The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

This Notice of Participation must be served and filed no later than November 18, 2019 in accordance with the instructions set forth at the end of this document.

Absent permission granted by the District Court upon a showing of good cause, any party that has notice of the Initial Objection Procedures but (a) fails to file a Notice of Participation or (b) files a Notice of Participation after November 18, 2019, shall be prohibited from filing separate pleadings with respect to the Claim Objections (as defined in the Initial Objection Procedures), including filing any Additional Claim Objections (as defined in the Initial Objection Procedures).

The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

<u>Participant Name and Contact Information</u>	<u>Counsel Contact Information (if any)</u>
Karl Walder Participant Name	Firm Name (if applicable)
Contact Person (if Participant is not an individual)	Contact Person
kwcubuy@yahoo.com Email Address	Email Address
PO Box 16783 Address line 1	Address line 1
Address line 2	Address line 2
San Juan PR 00908 City, State Zip Code	City, State Zip Code
USA Country	Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

intends to oppose the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are valid)

**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

*29216 MAF7, 29216 MAC4*

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: \_\_\_\_\_

Signature

*Karl Walder*

Print Name

Title (if Participant is not an Individual)

*October 30, 2019*

Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\*

RECEIVED & FILED  
2019 NOV - 1 PM 5: 01

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

JUDGE'S OFFICE  
DISTRICT COURT  
SAN JUAN, P.R.

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

: PROMESA

: Title III

as representative of

: Case No. 17-BK-3283 (LTS)

THE COMMONWEALTH OF PUERTO RICO *et al.*,

: (Jointly Administered)

Debtors.<sup>1</sup>

X

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

: PROMESA

: Title III

as representative of

: Case No. 17-BK-3566 (LTS)

THE EMPLOYEES RETIREMENT SYSTEM OF THE  
GOVERNMENT OF THE COMMONWEALTH OF  
PUERTO RICO,

:

Debtor.

X

**NOTICE OF PARTICIPATION IN LITIGATION  
OF OBJECTIONS TO ERS BOND CLAIMS**

<sup>1</sup> The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

This Notice of Participation must be served and filed no later than November 18, 2019 in accordance with the instructions set forth at the end of this document.

Absent permission granted by the District Court upon a showing of good cause, any party that has notice of the Initial Objection Procedures but (a) fails to file a Notice of Participation or (b) files a Notice of Participation after November 18, 2019, shall be prohibited from filing separate pleadings with respect to the Claim Objections (as defined in the Initial Objection Procedures), including filing any Additional Claim Objections (as defined in the Initial Objection Procedures).

The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

**Counsel Contact Information (if any)**

Karl Walder

Participant Name

Firm Name (if applicable)

Contact Person (if Participant is not an individual)

Contact Person

kwcubuy@yahoo.com

Email Address

Email Address

PO Box 16783

Address line 1

Address line 1

Address line 2

Address line 2

San Juan, PR 00908

City, State Zip Code

City, State Zip Code

USA

Country

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

intends to oppose the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are valid)

**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

**29216 MAF7, 29216 MAC4**

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: \_\_\_\_\_

Signature \_\_\_\_\_

**Karl Walder**

Print Name \_\_\_\_\_

Title (if Participant is not an Individual)

**October 30, 2019**

Date \_\_\_\_\_

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) **served** by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) **filed** electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

Karl Walder  
PO Box 16783  
San Juan, PR 00908

Case:17-03283-LTS Doc#:9100-1 Filed:11/01/19 Entered:11/04/19 14:47:32 Desc:  
Pro Se Notices of Participation Page 26 of 61

31 OCT 2019  
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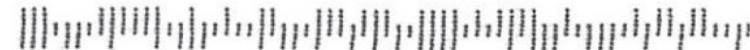
The Clerk of The US District Court  
For The District of Puerto Rico  
Room 150 Federal Building  
150 Carlos Chardón Avenue  
San Juan, PR 00918-1767

RECEIVED & FILED

2019 NOV -1 PM 5:01

CLERK'S OFFICE  
U.S. DISTRICT COURT  
J.S. SAN JUAN, PR

00918-170625



\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\*

RECEIVED & FILED

UNITED STATES DISTRICT COURT 2019 NOV -1 PM 5:02  
FOR THE DISTRICT OF PUERTO RICO

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.<sup>1</sup>

X U.S. BANKRUPTCY COURT'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

:

: PROMESA

: Title III

: Case No. 17-BK-3283 (LTS)

: (Jointly Administered)

:

X

:

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE EMPLOYEES RETIREMENT SYSTEM OF THE  
GOVERNMENT OF THE COMMONWEALTH OF  
PUERTO RICO,

Debtor.

: PROMESA

: Title III

: Case No. 17-BK-3566 (LTS)

:

X

:

X

**NOTICE OF PARTICIPATION IN LITIGATION  
OF OBJECTIONS TO ERS BOND CLAIMS**

<sup>1</sup> The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

This Notice of Participation must be served and filed no later than November 18, 2019 in accordance with the instructions set forth at the end of this document.

Absent permission granted by the District Court upon a showing of good cause, any party that has notice of the Initial Objection Procedures but (a) fails to file a Notice of Participation or (b) files a Notice of Participation after November 18, 2019, shall be prohibited from filing separate pleadings with respect to the Claim Objections (as defined in the Initial Objection Procedures), including filing any Additional Claim Objections (as defined in the Initial Objection Procedures).

The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

Thomas B. McDarrah

Participant Name

Contact Person (if Participant is not an individual)

tmard@cox.net

Email Address

4700 W 13<sup>th</sup> St #105

Address line 1

Room #1105

Address line 2

Wichita, KS 67212

City, State Zip Code

USA

Country

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

intends to oppose the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are valid)

**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Thomas B McDavitt  
Signature

Thomas B McDavitt  
Print Name

Title (if Participant is not an Individual)

10/22/19  
Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) **served** by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) **filed** electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

Master  
10/29/2019  
**US POSTAGE \$001.45<sup>0</sup>**

FIRST-CLASS MAIL



ZIP 67206  
011D11650520



# Riedl First Securities

COMPANY OF KANSAS

1841 N. Rock Rd. Ct. Ste 400  
Wichita, KS 67206-4213

**First Class Mail**

RECEIVED & FILED  
2019 NOV -1 PM 5 02  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

The Clerk of the United States District Court,  
of Puerto Rico, Room 150, Federal Building  
150 Carlos Chardon Avenue  
San Juan, PR 00918-1767

\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\*

RECEIVED & FILED

2019 NOV - 1 PM 5:03

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.<sup>1</sup>

X U.S. DISTRICT COURT  
SAN JUAN, P.R.

: PROMESA  
: Title III  
:  
: Case No. 17-BK-3283 (LTS)

: (Jointly Administered)  
:  
X

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE EMPLOYEES RETIREMENT SYSTEM OF THE  
GOVERNMENT OF THE COMMONWEALTH OF  
PUERTO RICO,

Debtor.

: PROMESA  
: Title III  
:  
: Case No. 17-BK-3566 (LTS)

:  
:  
X

**NOTICE OF PARTICIPATION IN LITIGATION  
OF OBJECTIONS TO ERS BOND CLAIMS**

<sup>1</sup> The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

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Absent permission granted by the District Court upon a showing of good cause, any party that has notice of the Initial Objection Procedures but (a) fails to file a Notice of Participation or (b) files a Notice of Participation after November 18, 2019, shall be prohibited from filing separate pleadings with respect to the Claim Objections (as defined in the Initial Objection Procedures), including filing any Additional Claim Objections (as defined in the Initial Objection Procedures).

The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

James M Cole

Participant Name

Contact Person (if Participant is not an individual)

jamesmcole@gmail.com

Email Address

582 Skyline Dr

Address line 1

Address line 2

Woodland Park, CO 80863

City, State Zip Code

USA

Country

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

intends to oppose the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are valid)

**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By:

James M. Cole  
Signature

James M Cole  
Print Name

Title (if Participant is not an Individual)

10/29/19  
Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.

Master  
10/29/2019  
**US POSTAGE \$001.45<sup>0</sup>**



ZIP 67206  
011D11650520



# Riedl First Securities

COMPANY OF KANSAS

1841 N. Rock Rd. Ct. Ste 400  
Wichita, KS 67206-4213

**First Class Mail**  
**First Class Mail**

RECEIVED & FILED  
2019 NOV - 1 PM 5 02  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

The Clerk of the United States District Court,  
of Puerto Rico, Room 150, Federal Building,  
150 Carlos Chardon Avenue  
San Juan, PR 00918-1767

\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\*

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

RECEIVED & FILED  
2019 NOV -1 PM 5:03  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

----- X -----  
In re: :  
THE FINANCIAL OVERSIGHT AND : PROMESA  
MANAGEMENT BOARD FOR PUERTO RICO, : Title III  
as representative of : Case No. 17-BK-3283 (LTS)  
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)  
Debtors.<sup>1</sup> :  
----- X -----  
In re: :  
THE FINANCIAL OVERSIGHT AND : PROMESA  
MANAGEMENT BOARD FOR PUERTO RICO, : Title III  
as representative of : Case No. 17-BK-3566 (LTS)  
THE EMPLOYEES RETIREMENT SYSTEM OF THE :  
GOVERNMENT OF THE COMMONWEALTH OF :  
PUERTO RICO, :  
Debtor. :  
----- X -----

**NOTICE OF PARTICIPATION IN LITIGATION  
OF OBJECTIONS TO ERS BOND CLAIMS**

<sup>1</sup> The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

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The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

James M Cole

Participant Name

Contact Person (if Participant is not an individual)

jamesoncole@gmail.com

Email Address

522 Skyline Dr

Address line 1

Address line 2

Woodland Park, CO 80863

City, State Zip Code

USA

Country

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

intends to oppose the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are valid)

**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By:

James M. Cole  
Signature

James M Cole

Print Name

Title (if Participant is not an Individual)

10/29/19  
Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.

Master  
10/29/2019  
**US POSTAGE \$001.45<sup>0</sup>**

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ZIP 67206  
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# Riedl First Securities

COMPANY OF KANSAS

1841 N. Rock Rd. Ct. Ste 400  
Wichita, KS 67206-4213

**First Class Mail**

RECEIVED & FILED  
2019 NOV -1 PM 5 02  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

The Clerk of the United States District Court,  
of Puerto Rico, Room 150, Federal Building,  
150 Carlos Chardon Avenue  
San Juan, PR 00918-1767

\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\*

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.<sup>1</sup>

X

:

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X

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE EMPLOYEES RETIREMENT SYSTEM OF THE  
GOVERNMENT OF THE COMMONWEALTH OF  
PUERTO RICO,

Debtor.

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**NOTICE OF PARTICIPATION IN LITIGATION  
OF OBJECTIONS TO ERS BOND CLAIMS**

<sup>1</sup> The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

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2019 NOV - 1 PM 5:03  
U.S. DISTRICT COURT  
SAN JUAN, PR  
CLERK'S OFFICE

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To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Carol Z Hale

Participant Name

Contact Person (if Participant is not an individual)

CZh1KS@gmail.com

Email Address

10200 Pflumm Rd

Address line 1

Address line 2

hawoxe TS 66215

City, State Zip Code

USA

Country

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

intends to oppose the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are valid)

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3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By:   
Signature

CAROL Z HALE  
Print Name

Title (if Participant is not an Individual)

10/29/19  
Date

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Master  
10/29/2019  
**US POSTAGE \$001.45<sup>0</sup>**

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# Riedl First Securities

COMPANY OF KANSAS

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Wichita, KS 67206-4213

**First Class Mail**

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CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

The Clerk of the United States District Court,  
of Puerto Rico, Room 150, Federal Building,  
150 Carlos Chardon Avenue  
San Juan, PR 00918-1767

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UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.<sup>1</sup>

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RECEIVED & FILED  
2019 NOV -1 PM 5:03  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

**NOTICE OF PARTICIPATION IN LITIGATION  
OF OBJECTIONS TO ERS BOND CLAIMS**

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To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

<u>Participant Name and Contact Information</u>	<u>Counsel Contact Information (if any)</u>
Mary J Frank Participant Name	Firm Name (if applicable)
Contact Person (if Participant is not an individual) Mary.J.Frank.civ@mail.mi	Contact Person
Email Address	Email Address
1254 Kelly Drive Address line 1	Address line 1
Arab Al 3501b Address line 2	Address line 2
City, State Zip Code	City, State Zip Code
USA Country	Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

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(a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MACY

(b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Mary J. Frank  
Signature

Mary J. Frank  
Print Name

Title (if Participant is not an Individual)

10/29/19  
Date

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Master  
10/29/2019  
**US POSTAGE \$001.45<sup>0</sup>**



ZIP 67206  
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# Riedl First Securities

COMPANY OF KANSAS

1841 N. Rock Rd. Ct. Ste 400  
Wichita, KS 67206-4213

**First Class Mail**  
**First Class Mail**

RECEIVED & FILED  
2019 NOV -1 PM 5 02  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

The Clerk of the United States District Court,  
of Puerto Rico, Room 150, Federal Building,  
150 Carlos Chardon Avenue  
San Juan, PR 00918-1767

\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\*

RECEIVED & FILED  
2019 NOV -1 PM 5:03  
UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

U.S. DISTRICT COURT  
SAN JUAN, P.R.

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.<sup>1</sup>

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NOTICE OF PARTICIPATION IN LITIGATION  
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1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Jaze D. Bessie  
Participant Name

Contact Person (if Participant is not an individual)

Email Address

11471 Lawrence b 2091  
Address line 1

Address line 2

Ft. Vernon MD 20712  
City, State Zip Code

Country

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

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- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

*29216MAC4*

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By:

*Taze D Jesse*

Print Name

Title (if Participant is not an Individual)

*10-24-2019*

Date

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10/29/2019  
**US POSTAGE \$001.45<sup>0</sup>**



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**First Class Mail**

RECEIVED & FILED  
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U.S. DISTRICT COURT  
SAN JUAN, PR

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San Juan, PR 00918-1767

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UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

X

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.<sup>1</sup>

: PROMESA

: Title III

: Case No. 17-BK-3283 (LTS)

: (Jointly Administered)

:

:

X

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

: PROMESA

: Title III

: Case No. 17-BK-3566 (LTS)

THE EMPLOYEES RETIREMENT SYSTEM OF THE  
GOVERNMENT OF THE COMMONWEALTH OF  
PUERTO RICO,

Debtor.

X

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OF OBJECTIONS TO ERS BOND CLAIMS**

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The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

*Karen Mansfield*

Participant Name

Contact Person (if Participant is not an individual)

*bluegecko2121@yahoo.com*

Email Address

*7628 Martindale*

Address line 1

Address line 2

*Drakes P P 34112*

City, State Zip Code

*USA*

Country

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

intends to oppose the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are valid)

\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\*

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Karen Mansfield  
Signature

KAREN MANSFIELD  
Print Name

Title (if Participant is not an Individual)

10/23/19

Date

Instructions for Serving and Filing Notice of Participation: This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.

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Wichita, KS 67206-4213

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SAN JUAN, PR

The Clerk of the United States District Court,  
of Puerto Rico, Room 150, Federal Building,  
150 Carlos Chardon Avenue  
San Juan, PR 00918-1767

\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\*

This Notice of Participation must be served and filed no later than *November 18, 2019 in* accordance with the instructions set forth at the end of this document.

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The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

*Charles I & Wilma P Humment Trust*

Participant Name

*Charles Humment*

Contact Person (if Participant is not an individual)

Email Address

*5620 NW Northboro Dr*

Address line 1

Address line 2

*Topeka, KS 66118*

City, State Zip Code

*USA*

Country

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

intends to oppose the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are valid)

**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Charles I. Hummer - Wilma P. Hummer  
Signature

Charles I. Hummer - Wilma P. Hummer  
Print Name

Title (if Participant is not an Individual)

October 22, 2019  
Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.

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150 Carlos Chardon Avenue  
San Juan, PR 00918-1767

\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\*

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.<sup>1</sup>

X

:

:

:

: PROMESA

: Title III

:

: Case No. 17-BK-3283 (LTS)

:

: (Jointly Administered)

:

:

In re:

THE FINANCIAL OVERSIGHT AND  
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE EMPLOYEES RETIREMENT SYSTEM OF THE  
GOVERNMENT OF THE COMMONWEALTH OF  
PUERTO RICO,

Debtor.

X

:

:

: PROMESA

: Title III

:

: Case No. 17-BK-3566 (LTS)

:

:

:

:

:

X

**NOTICE OF PARTICIPATION IN LITIGATION  
OF OBJECTIONS TO ERS BOND CLAIMS**

<sup>1</sup> The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

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SAN JUAN, PR

**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

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To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

Sherri L Ortolani  
Participant Name  
  
Contact Person (if Participant is not an individual)  
sherrilortolani@gmail.com  
Email Address  
8621 Greenway Lane  
Address line 1  
  
Address line 2  
Leavenworth, KS 66215  
City, State Zip Code  
USA  
Country

Counsel Contact Information (if any)

Firm Name (if applicable)  
  
Contact Person  
  
Email Address  
  
Address line 1  
  
Address line 2  
  
City, State Zip Code  
  
Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

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(a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216M AC4

(b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Sherri L. Ortolan  
Signature

Sherri L. Ortolan  
Print Name

Title (if Participant is not an Individual)

10/29/19  
Date

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